



RISK MANAGEMENT REPORT

TYPE	Excavator - Small (0 - 9.9 Tonne)
MAKE	Kubota
MODEL	KX057-4
PLANT NUMBER	AHSH74
SERIAL NUMBER	22327

Report Number	AHH 20251202-1612
Date	02-Dec-2025
Assessment Purpose	Hire
State	NSW
Created By	AHSH Service
Owner	Australian Hammer Supplies Hire Pty Ltd
Assessor	AHSH Service
Assist. Assessor(s)	Mitchell Pennells
Completed By	AHSH Service
Machine Identifier updates	AHSH74



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Contains information outlining the scope and any limitations applicable to this Risk Management Report

SECTION 2 MACHINE DETAILS

Contains standard machine specifications and details of any extras fitted

RISK ANALYSIS, RISK EVALUATION & RISK TREATMENT

Contains details of the technique used to calculate risk ratings, time frame and risk treatments. Please refer to this information when reviewing and interpreting the information in section 4 & 5

RISK TREATMENTS REQUIRED

Contains detailed information regarding the risk treatments to be implemented including hazard, risk rating, time frame, relevant standards & legislative references

RISK TREATMENTS IN PLACE

Contains detailed information regarding the risk treatments in place including hazard, risk rating, relevant standards & legislative references

IMAGES AND NOTES

Contains images & any relevant information entered by the assessor



SECTION 3

SECTION 4

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SECTION 6



SECTION 1 IMPORTANT INFORMATION

This report was generated by Ideagen Machine Safety on Tuesday, 2 Dec 2025 4:16 PM

This report pertains to this item of plant as it appeared on the day of inspection.

It is the responsibility of the hirer to conform with the instructions and information contained within this report. Any change in condition of this item of plant should be reported to the hire company immediately.

Any information relating to the standard features have been supplied via the manufacturer and should be used as a guide only until verified.

For further information regarding this report contact Ideagen Machine Safety on 1300 72 88 52





Make

Model

Type

1. Manufacturers specified noise level dis	8			
2. Ambient noise level dBA 3. Noise level - Operator position (high incle) dBA 4. Noise level - Operator position (low idel) dBA 4. Noise level - Departor position (low idel) dBA 5. Noise level - Departor dBA @ m (high idel) 6. Noise level - Departor dBA @ m (high idel) 7. Noise level RHS dBA @ m (high idel) 7. Noise level RHS dBA @ m (high idel) 7. Noise level RHS dBA @ m (high idel) 8. Noise level Rear dBA @ m (high idel) 8. Noise level Rear dBA @ m (high idel) 8. Noise level Rear dBA @ m (high idel) 9. Noise level Rear dBA @ m (high idel)				
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S. Noise level Front dBA @ m (high idle)		- NOISE TEST RESULTS	4. Noise level - Operator position (low idle)	
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BUCKET			6. Noise level Front dBA @ m (high idle)	
Standard bucket capacity, SAE rated (m3)			7. Noise level RHS dBA @ m (high idle)	
Standard bucket width (mm)			8. Noise level Rear dBA @ m (high idle)	
Standard bucket width (mm) Four Transport Length (mm) Four Transport		BUCKET	Standard bucket capacity, SAE rated (m3)	
Hydraulic Oii Tank Capacity (Litres)		BOOKET	Standard bucket width (mm)	
Hydraulic Oil tank Capacity (Litres)		CAPACITIES		
Digging depth (mm) 3880	_	67tt 7t611126		
Dump height (mm)				
DIMENSIONS/WEIGHTS				
Max depth of vertical wall (mm) 3100				
Maximum Reach Height (mm)			` '	
Operating weight (kg) 5555		DIMENSIONOMESOUTO		3100
Tailswing radius (mm)		DIMENSIONS/WEIGHTS		leere.
Transport Height (mm) 2550				
Transport Length (mm) 5520 Width (mm) 1960 Engine Displacement (Litres) 2.615 Engine Hours Engine Hours Engine Power (kW@rpm) 33.8@2200 Number of Cylinders 4 Extras Spare spool for attachments? Yes/No Quick Hitch Make Quick Hitch Serial No. Flow of main pumps (L/Min) 56.1x2 / 37x1 Pump Types 2x variable/1x gear Relief valve pressure, main pumps (Bar) PLANT CLASSIFICATIONS FOPS Compliance No. FOPS Compliance No. FOPS Serial No. TRACKS Track length on ground (mm) 1990 Track pad width (mm) 400 Track pad width (mm) 2.66/4.6 Arm breakout (kgf) 4315 Gradeability - Degrees/(%)				
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Engine Displacement (Litres) 2.615				
Engine Hours			· · · · ·	
Engine Make & Model Kubota V2607-DI				2.013
Engine Number Engine Number Engine Power (kW@rpm) 33.8@2200				Kubota V2607-DI
Engine Power (kW@rpm) 33.8@2200 Number of Cylinders 4 EXTRAS Spare spool for attachments? Yes/No Quick Hitch Make Quick Hitch Model Quick Hitch Serial No. Flow of main pumps (L/Min) 56.1x2 / 37x1 Pump Types 2x variable/1x gear Relief valve pressure, main pumps (Bar) PLANT CLASSIFICATIONS PART STRUCTURES FOPS Compliance No. FOPS Serial No. ROPS Compliance No. ROPS Compliance No. ROPS Serial No. ROPS Serial No. Track length on ground (mm) 1990 Track pad width (mm) 400 TRANSMISSION Speed (km/h) 2.6/4.6 Arm breakout (kgf) 2500 Bucket breakout (kgf) 4315 Gradeability - Degrees/(%)	ENGINE	ENGINE		Transita V2007 B1
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Name		EXTRAS	•	
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Flow of main pumps (L/Min) 56.1x2 / 37x1		HITCH	Quick Hitch Model	
Pump Types			Quick Hitch Serial No.	
Relief valve pressure, main pumps (Bar)			Flow of main pumps (L/Min)	56.1x2 / 37x1
Class Year		HYDRAULICS	Pump Types	2x variable/1x gear
SAFETY STRUCTURES			Relief valve pressure, main pumps (Bar)	
SAFETY STRUCTURES		DI ANT CI ASSISICATIONS	Class	
FOPS Serial No. ROPS Compliance No. ROPS Serial No. ROPS S		I LANT CLASSII ICATIONS		
ROPS Compliance No. ROPS Serial No.			FOPS Compliance No.	
ROPS Compliance No.		SAFETY STRUCTURES		
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Track pad width (mm) 400		TRACKS		
WORK CAPABILITIES Arm breakout (kgf) 2500 Bucket breakout (kgf) 4315 Gradeability - Degrees/(%) 4315				
WORK CAPABILITIES Bucket breakout (kgf) 4315 Gradeability - Degrees/(%)		TRANSMISSION		<u> </u>
Gradeability - Degrees/(%)				
		WORK CAPABILITIES		4315
Reach @ ground level (mm) 6130				
		<u> </u>	Keach @ ground level (mm)	0130





Make

Model Type

SECTION 3 RISK ANALYSIS / RISK EVALUATION

RIS	RISK ANALYSIS						
[.◀	CONSEQUENCE—						
LIKELIHOOD ———		1. INSIGNIFICANT Dealt with by in house first aid	2. MINOR Treated by medical professionals, hospital out patients	3. MODERATE Significant non permanent injury overnight hospital stay	4. MAJOR Extensive permanent injury eg. Loss of fingers, extended hospital stay	5. CATASTROPHIC Death, permanent disabling injury eg. Loss of hand, quadriplegia	
—— LIKELI	A. Almost certain to occur in most circumstances	MEDIUM 8	HIGH 16	HIGH 18	CRITICAL 23	CRITICAL 25	
↓	B. Likely to occur frequently	MEDIUM 7	MEDIUM 10	HIGH 17	HIGH 20	CRITICAL 24	
	C. Possibly and likely to occur at sometime	LOW 3	MEDIUM 9	MEDIUM 12	HIGH 19	HIGH 22	
	D. Unlikely to occur but could happen	LOW 2	LOW 5	MEDIUM 11	MEDIUM 14	HIGH 21	
	E. May occur but only in rare circumstances	LOW 1	LOW 4	LOW 6	MEDIUM 13	MEDIUM 15	

LUATION	CRITICAL	Act immediately to mitigate risk. Implement risk treatment(s) in accordance with the risk treatment table below.
Act immediately to mitigate risk. Implement risk treatment(s) in accordance with the risk treatment of the appropriate risk treatments are not immediately accessible establish interim risk treatments. Permanent risk treatments must be implemented within one week.		Act immediately to mitigate risk. Implement risk treatment(s) in accordance with the risk treatment table below. If the appropriate risk treatments are not immediately accessible establish interim risk treatment strategies. Permanent risk treatments must be implemented within one week.
treatment table below. Permar Take reasonable steps to mitig		Take reasonable steps to mitigate and monitor the risk. Implement risk treatment(s) in accordance with the risk treatment table below. Permanent risk treatments must be implemented within one month.
		Take reasonable steps to mitigate and monitor the risk. Implement risk treatment(s) in accordance with the risk treatment table below. Permanent risk treatments must be implemented within three months.

TREATMENT		ost appropriate risk treatment option involves balancing the costs and efforts of implementation against the benefits gard to legal, regulatory and other requirements. (SOUTCE AS/NZS ISO 31000:2018)		
REATI	Eliminate	Eliminate the risk source.		
RISK T	Substitute	Provide an alternative that is capable of performing the same task which is safer.		
C_	Isolate	Isolate people from the hazard.		
	Engineering	Provide or construct a physical barrier or guard.		
	Administration	Develop policies, procedures, practices and guidelines in consultation with employees to mitigate the risk. Provide training, instruction and supervision about the risk source.		
	Personal protective Provide personal protective equipment to protect the individual from the risk source.			





Make

Model Type This section of the report pertains to hazards created by use of this item of plant which currently do not have risk treatments in place. The risk treatments recommended in this section have been developed based on relevant Australian Standards, health & safety legislation, the hierarchy of risk treatment in accordance with the guidelines set forth in AS/NZS ISO 31000 – Risk Management and various other sources. The recommended risk treatment measures must be developed, implemented and validated as effective prior to the operation, maintenance or testing of this item of plant. Treatments applied must be dated and initialled adjacent the recommendations. All operators must read and understand the entire contents of this section prior to operating this item of plant.

HAZARD(S)	Prelim. Risk Rating	Residual Risk Rating	Time Frame	Due Date	Date Recti- fied	Initial	
INCORRECT OPERATION CRITICAL 24 MEDIUM 15 Immediate 2-Dec-25							
Risk Treatment Required: Operator Competency							
Only persons who are qualified, trained and experienced and/or hold the relevant certification/license can operate this item of plant. If there is not a competent/licensed person available for operation of this item of plant then only persons who are supervised by a competent/licensed person can operate this item of plant.							
Legislation: State Health & Safety Legislation & Regulation							
References: Work Health & Safety Act & Regulations- , Occupational Health & Safety Act & Regulations							
Assessor Comments: HIRER OF PLANT MUST ENSURE ON SITE OPERATORS OF EQUIPMENT MEET THESE REQUIREMENTS							
POOR VISIBILITY, COLLISION HIGH 22 MEDIUM 15 1 Week 9-Dec-25							
Risk Treatment Required: Rear Camera							
This item of plant is not fitted with a rear camera. Operareversing.	ators must be viç	gilant when revers	sing. A spotter s	hould be used	when possible to	assist when	
References: AS/NZS4024.1201, ISO31000							





Make

Model

Type

SECTION 5 RISK TREATMENTS IN PLACE

HAZARD(S)

This section of the report pertains to risk treatments currently in place on this item of plant. This section must be read in conjunction with the safety section of the manufacturers handbook. All operators must read and understand the entire contents of this section prior to operating this item of plant. These treatments or equivalent must remain in place at all times whilst this item of plant is in operation.

DELIVERY

CRUSHING

HIGH 22

Prelim. Risk Rating

MEDIUM 15

Residual Risk Rating

Risk Treatments in Place: SWMS Load Restraint

Ensure that all operators follow the approved SWMS/SOP when restraining this machine for transport.

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations

CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: SWMS Loading/Unloading

Ensure that all operators follow approved SWMS/SOP when loading and unloading this machine to and from a flat top truck or trailer, low loader or tilt tray.

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations



OPERATION

CRUSHING

CRITICAL 24

MEDIUM 15

Risk Treatments in Place: Manual Hitch

This item of plant is fitted with a manual hitch that meets the following requirements -

- 1. Has a primary retention system and a secondary safety system fitted
- 2. The primary retention system must be engaged and disengaged at the hitch
- 3. The secondary safety system must be retained on the hitch so that it cannot be removed without the use of tools.

These requirements must be met at all times whilst this item of plant is in operation.

References: AS13031

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CRUSHING

CRITICAL 24

MEDIUM 15

Risk Treatments in Place: Quick Hitch Movement

This item of plant is fitted with a quick hitch which will not allow unintended movement of the attachment if the primary retention system fails.

References: AS13031



ELECTROCUTION, EXPLOSION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Before You Dig (AUS)

This item of plant is fitted with a clear hazard warning label re: underground services and advice "Before You Dig, visit www.byda.com.au" to the operator work area. This advice must be adhered to strictly. Digging into an electricity cable or gas pipe can cause serious injury or death. Damaging a pipe or cable may also lead to isolating a community from emergency services such as fire, police or ambulance. This label must be present, clear and legible at all times.

References: ISO31000



INCORRECT OPERATION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Control Labels

All controls including all levers, buttons, pedals, switches etc. are clearly labelled as to their purpose and method of operation. These labels must be maintained in a clean and serviceable condition at all times.

References: AS/NZS4024.1905





Plant Number Assessed By Date





ELECTROCUTION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Electrical Approach Distances

This item of plant has a hazard warning label re: overhead electrical hazards and minimum approach distances fitted. These distances must be adhered to strictly. These labels and tables must be present, clear and legible at all times.

Spotters are required when working within 5 metres of the minimum approach distance of any live electrical apparatus.

Any encroach within the minimum approach distances must only occur if the following provisions have been met -

- 1. The machine is designed to work within the minimum approach distances
- 2. Permission has been granted by the electricity company and
- 3. Safe systems of work have been documented and approved.

References: ISO31000



CRUSHING, ENTANGLEMENT, FIRE

HIGH 22

MEDIUM 15

Risk Treatments in Place: Emergency Stop/Shutdown Device

This item of plant is fitted with an emergency stop/shutdown device, capable of shutting the machine down, located at the normal operating position.

This device must be fully functional at all times whilst this item of plant is in operation.

References: AS20474.1



CRUSHING, ENTANGLEMENT, FIRE

HIGH 22

MEDIUM 15

Risk Treatments in Place: External Emergency Stop/Shutdown Device

This item of plant is fitted with an emergency stop/shutdown device, capable of shutting the machine down located on at least one external surface of the machine and is easily accessible.

This device must be fully functional at all times whilst this item of plant is in operation.

References: AS20474.1



INCORRECT OPERATION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Operation Handbook

The manufacturer's operation handbook has been supplied for this item of plant.

This handbook must be available at all times to all potential operators and supervisory staff. All potential operators must read and be familiar with this handbook prior to operating.

A complete risk assessment/Job Safety Analysis must be undertaken covering all operating processes and environments associated with this item of plant. SWMS should be produced for specific tasks associated with use of this item of plant.

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations



CRUSHING, FALLING

HIGH 22

MEDIUM 15

Risk Treatments in Place: Passenger Seat Label

This item of plant is fitted with a clear hazard warning label re: Operator only, No passengers. Passengers must not be carried at anytime. This label must be clear and legible at all times whilst this item of plant is in operation.

Legislation: State Health & Safety Legislation & Regulation

References: AS1319-



COLLISION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Phone Use label

This item of plant is fitted with an instruction label advising that mobile phones must not be used whilst operating this machine. Accordingly all operators must not use a mobile phone at any time whilst operating machine. If phone use is necessary then operator must place machine in park configuration in a safe position prior to phone use. Operators MUST adhere to this advice at all times.

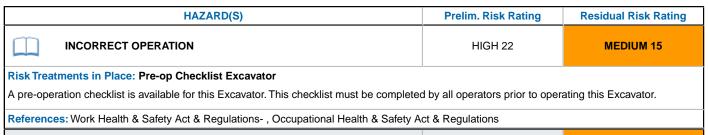
This label must be clear and legible at all times whilst this item of plant is in operation.

References: AS1319-, ISO31000





Plant Number Assessed By Date



INCORRECT OPERATION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Quick Hitch Operation Handbook

The manufacturer's operation handbook has been supplied for this quick hitch.

This handbook must be available at all times to all potential operators and supervisory staff. All potential operators must read and be familiar with this handbook prior to operating.

A complete risk assessment/Job Safety Analysis must be undertaken covering all operating processes and environments associated with this quick hitch. SWMS should be produced for specific tasks associated with use of this quick hitch.

References: AS13031



CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: ROPS Label

The warning label stating that the ROPS must not be damaged at any time (including cuts, drill holes and welds) must be present, clean and legible at all times.

References: ISO3471

CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: ROPS seat belt label

This item of plant is fitted with a ROPS and has an advisory label stating that "seatbelts must be worn".

This label must be present, clean and legible at all times.

All operators and passengers must wear seatbelts whilst on this item of plant.

References: AS2294, ISO3471



INCORRECT OPERATION

HIGH 22

MEDIUM 15

Risk Treatments in Place: SOP Excavator

Safe Operation Procedures are available for this Excavator. The information in the Safe Operation Procedures must be followed at all times whilst operating this Excavator.

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations



POISONING, EXPLOSION, BURNS

HIGH 22

MEDIUM 15

Risk Treatments in Place: Tank ID Label

The tank(s) on this item of plant have clear, legible label(s) identifying their contents, and if appropriate any necessary controls re: the contents. These must be present, clear and legible at all times. (this includes radiator, hydraulic, water and petrol/diesel tanks etc.)

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations



INSTABILITY, CRUSHING

HIGH 21

MEDIUM 15

Risk Treatments in Place: Boom Lifting Point Table

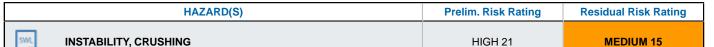
This item of plant has a lifting point fitted to the boom, accordingly a load/distance table is present at the operator work area. This must be clear and legible at all times. This item of plant must comply with the relevant parts of AS 1418 at all times. All operators must be appropriately trained to use this item of plant and licenced where necessary.

References: AS1418.8





Plant Number Assessed By Date



Risk Treatments in Place: Boom Rated Capacity Label

This item of plant has a rated capacity label fitted to each side of the boom. Ensure that these labels are clear and legible at all times whilst this item of plant is in operation. Operators must not exceed this rated capacity at any time during operation.

References: AS1418.8



FIRE

HIGH 21

MEDIUM 15

Risk Treatments in Place: Fire Extinguisher

This item of plant is fitted with an approved and maintained fire extinguisher. Fire extinguisher(s) must be present and fully functional at all times. They must be readily accessible to the operator. Regular inspections must also be carried out in accordance with the manufacturer's requirements and AS 1851 – 1995

References: AS10896.1, AS1851



CRUSHING, INCORRECT OPERATION

HIGH 21

MEDIUM 15

Risk Treatments in Place: Quick Hitch Information

This hydraulic quick hitch has the following information marked upon it -

- 1. The manufacturer's name and address
- 2. Model
- 3. Serial number
- 4. The year of manufacture
- 5. The mass of the hitch in kilograms
- 6. The lift point capacity (if fitted) in kilograms

This information must be considered by all operators when assessing the suitability of the hitch for any task. Failure to consider and or comply with this information could lead to serious injury or death.

References: AS13031



CRUSHING, PINCHING

HIGH 21

MEDIUM 15

Risk Treatments in Place: Swing Boom Crush Label

This item of plant has clear hazard warning labels re: pinch point/crush zone, keep clear, that are attached to each side of the boom swing/pivot point. These must be present, clear and legible at all times whilst this item of plant is in operation.

References: AS/NZS4024.1201, AS1319-



HEARING LOSS

HIGH 19

MEDIUM 14

Risk Treatments in Place: Hearing Protection Label - Operator

The hazard warning label(s) re: wearing of hearing protection attached to this item of plant refer to the level of noise produced. Permanent hearing damage will result if hearing protection is not worn. These labels must be present, clear and legible at all times whilst this item of plant is in operation.

References: AS3781-, AS/NZS1269



CRUSHING, STRIKING, COLLISION

HIGH 19

MEDIUM 14

Risk Treatments in Place: Tail Swing Label

The rear of this item of plant has a hazard warning label re: general plant movement, tail swing, keep clear. It must be present and fully functional and serviceable at all times.

References: ISO20474-



ENTANGLEMENT, SHEARING, BURNS

MEDIUM 14

MEDIUM 13

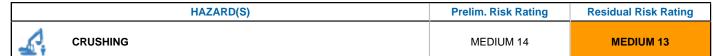
Risk Treatments in Place: Engine Guard Label

The engine fan and alternator belts, pulleys and gears are guarded. These guards have clear legible hazard warning labels re do not open or remove guards while engine is running. These labels must be present, legible and easily seen at all times whilst this item of plant is in operation.

References: AS/NZS4024.1201, AS1319-







Risk Treatments in Place: Front Grader Blade Label

The front blade on this item of plant is fitted with a hazard warning label re: crush zone, keep clear. This label must be present and fully functional and serviceable at all times.

References: AS1319-, ISO20474-



CRUSHING, COLLISION

MEDIUM 12

LOW 6

Risk Treatments in Place: Warning Device (horn)

This item of plant is fitted with a fully functional audible warning device such as a horn. This must be easily accessed by the operator, and easily identifiable by nearby pedestrians.

All operators should ensure the warning devices are functional at the start of each shift, by completing pre-start checklists. Warning devices should operate automatically where appropriate (eg reversing)

References: ISO7731, ISO9533



COLLISION

MEDIUM 9

LOW 5

Risk Treatments in Place: Recovery Point Label

This item of plant is fitted with a hazard warning label adjacent the recovery tow point which states "Recovery tow point – Read manufacturer's towing instructions before towing". Failure to do so could result in DEATH or SERIOUS INJURY.

This label must be clear and legible at all times whilst this item of plant is in operation.

References: ISO31000



CRUSHING

CRITICAL 24

MEDIUM 15

Risk Treatments in Place: Automatic(Quick) Hitch

This item of plant is fitted with a quick hitch that is fitted with a primary retention device and safety system that meet the following requirements -

- 1. Must be intentionally disengaged to remove attachments
- 2. The safety system is automatically activated as part of the engagement process
- 3. Has means of verifying engagement of both the primary retention device and the safety system from the operator position.

These requirements must be met at all times whilst this item of plant is in operation.

References: AS13031



CRUSHING

CRITICAL 24

LOW 1

Risk Treatments in Place: Closed Eye Lifting Point

The lifting point fitted to this item of plant is the closed eye type. Hooks with out latching devices must not be used as a lifting point at any time.

References: AS13031



CRUSHING

CRITICAL 24

LOW 1

Risk Treatments in Place: Closed Eye Lifting Point With Latch

The lifting point fitted to this item of plant is the open hook with latch type. Hooks with out latching devices must not be used as a lifting point at any time.

References: AS13031



CRUSHING

CRITICAL 24

MEDIUM 15

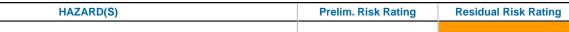
Risk Treatments in Place: Fully Automatic Quick Hitch

This item of plant is fitted with a fully automatic quick hitch.

References: AS13031









NON COMPLIANCE

HIGH 22

MEDIUM 15

Risk Treatments in Place: Battery Isolator

This item of plant is fitted with a fully functional battery isolation switch that is clearly and legibly marked and lockable in the off position.

The battery isolation switch must remain clearly and legibly marked and lockable at all times whilst this item of plant is in operation.

References: AS20474.1



COLLISION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Beacon

This item of plant is fitted with a safety beacon. This beacon must meet the following criteria at all times whilst this item of plant fitted is in operation

- Is visible up to 200m in all directions (allowing for intermittent obstruction from the plant structure whilst the plant is in operation)
- Is fitted in the most appropriate location on machine to maximise visibility without risking continual damage

NOTE: more than one beacon may be fitted to meet these criteria.

References: ISO20474-



CRUSHING, NON COMPLIANCE

HIGH 22

MEDIUM 15

Risk Treatments in Place: Control Lock out

The primary operator controls are fitted with an isolation device which meets the following requirements -

- a) Must be engaged to allow entry & exit of the machine
- b) Is not easily bypassed.

This device deactivates the primary operator controls. This must be employed during entry, exit and while performing maintenance on this item of plant.

This device must be fully functional at all times whilst this item of plant is in operation.

References: ISO10968



ENTANGLEMENT

HIGH 22

MEDIUM 15

Risk Treatments in Place: Engine Guards

The engine fan and alternator belts, pulleys and gears are guarded. These guards must be present and fully functional and serviceable at all times whilst this item of plant is in operation.

References: AS/NZS4024.1601



STRIKING, BURNS

HIGH 22

MEDIUM 15

Risk Treatments in Place: Hydraulic Hose Failure Shield

This item of plant is fitted with a sturdy, permanent shield(s) between the hydraulic hoses and any body parts of the operator to provide protection during a hose or component failure. This shield(s) must be present and fully functional at all times whilst this item of plant is in operation.

References: AS4024, ISO4413, AS2671



STRIKING, BURNS

HIGH 22

MEDIUM 15

Risk Treatments in Place: Hydraulic Hoses

This item of plant has hydraulic hoses. These hoses must be inspected each day or before each use for wear and tear. If there are visible signs of wear, immediate action must be taken to control the risk arising from this wear. These inspections must be documented.

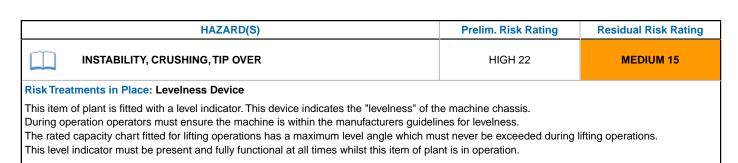
Hydraulic fluid at high pressure can penetrate the skin, never use any part of your body to check for leaks. If oil penetrates the skin seek medical advice immediately. Always use a piece of cardboard or similar to check for suspected leaks. Always wear appropriate gloves when handling hydraulic hoses

Hydraulic pressure can be stored and is a hazard. Always connect and disconnect hydraulic hoses as per the manufacturer's manual.

References: AS4024, AS2671







References: AS1418.8

CRUSHING, COLLISION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Loose Items - Operator Work Area

All items that could cause harm to the operator in the event of a collision or rollover are securely restrained.

References: ISO31000

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POOR VISIBILITY, COLLISION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Machine Lights

This item of plant is fitted with self contained lighting. All of these lights must be fully functional and serviceable whilst this item of plant is in operation in areas of reduced light. If any of these lights stop working the operation must cease immediately and the faulty light be repaired before operation can continue in the areas of reduced light.

References: ISO20474-



CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: Movement Awareness Alarm

An automatic movement awareness alarm is fitted to this item of plant. This alarm is automatically activated when travel in any direction occurs. It must be fully functional and serviceable at all times whilst this item of plant is in operation.

References: ISO7731, ISO9533



CRUSHING, ENTANGLEMENT, STRIKING, COLLISION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Neutral Start

This item of plant has neutral start control in place. It must be fully functional and serviceable at all times whilst this item of plant is in operation.

References: AS4024.1603



OPERATIONAL MALFUNCTION

HIGH 22

LOW 2

Risk Treatments in Place: Plant Modification

The plant is in original condition.

References: ISO31000



CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: Quick Hitch Controls

The quick hitch operation control is fitted with a device/method to prevent accidental operation. This device must be fully functional at all times whilst this item of plant is in operation.

References: AS13031



CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: Quick Hitch Operation Alarm

This item of plant is fitted with a quick hitch with a fully functional audible alarm fitted to the operator work area to alert the operator that the host machine is in the mode that allows for the controls to be operated to engage or disengage attachments.

This alarm must be fully functional at all times whilst this item of plant is in operation.

References: AS13031









CRUSHING, ENTANGLEMENT, SHEARING, BURNS, PINCHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: Safe Operator Location

This machine is designed so that the operator is isolated from all danger zones whilst at the operator position. This condition must exist at all times whilst this item of plant is in operation.

References: AS/NZS4024.1201

CRUSHING HIGH 22 MEDIUM 15

Risk Treatments in Place: Seat Belt

This item of plant is fitted with an operator seat belt. This seat belt must be free from damage, and permanently and sturdily attached at all times whilst this item of plant is in operation. Operators must use this seat belt at all times during operation.

References: ISO6683



ENTRAPMENT

HIGH 21

MEDIUM 15

Risk Treatments in Place: Emergency Exits

The emergency exits for this item of plant meet the following requirements -

- 1. Clearly and legibly labelled
- 2. Instructions for use are clear and legible and located adjacent the exit
- 3. Any required tools required for use are available e.g. Emergency hammers

These exits must be legibly labelled and fully functional at all times whenever the item of plant is manned, whether during operation or maintenance activities.

References: ISO31000



CRUSHING

HIGH 21

LOW 5

MEDIUM 15

Risk Treatments in Place: FOPS General

This item of plant is fitted with a Level I Falling Objects Protective Structure (FOPS). This structure is designed to protect the operator from small falling objects (e.g. bricks, small concrete blocks, hand tools)

Before operating this item of plant a task based risk assessment must be conducted to determine the level of FOPS required.

Level I - withstands 1,365 joules (e.g. 20kgs @ 7m drop, 70kgs @ 2m drop)

- operations such as highway maintenance, landscaping and other construction site services

Level II - withstands 11,600 joules (e.g. 200kgs @ 6m drop, 394kgs @ 3m drop)

- operations such as site clearing, overhead demolition or forestry

This task risk assessment must be undertaken before each operation, in particular when the item of plant is moved to a new location, even if it is within the same site.

References: ISO10262

ROPS CRUSHING HIGH 21 MEDIUM 15

Risk Treatments in Place: ROPS

A Roll Over Protective Structure (ROPS) to ISO 3471, ISO 12117.1 or 2, AS 2294 or AS 4987 is fitted to this item of plant. A permanent label stating this standard must be attached to the structure at all times. This structure provides a safety envelope during a rollover. A warning label re: wearing of seat belts at all times whilst this item of plant is in operation and accordingly seat belts must be worn at all times during operation.

References: AS4987, AS2294, ISO3471

ENTRAPMENT



Risk Treatments in Place: Two Operator Exits

The operator cabin/work area on this item of plant has a minimum of two (2) possible exits. These must be functional and accessible at all times whenever the item of plant is manned, whether during operation or maintenance activities.

References: AS5327





HIGH 21



HIGH 21

MEDIUM 15

Residual Risk Rating

Risk Treatments in Place: Windscreen Wipers

The windscreen wipers and washers fitted to this item of plant must be fully functional at all times.

References: AS/NZS4024.1201



Δ

INCORRECT OPERATION

HIGH 20

MEDIUM 14

Risk Treatments in Place: Intuitive Controls

The controls fitted to this item of plant are orientated so that the movement of the control is consistent with the action of the machine e.g. moving a control lever to the left results in the machine turning to the left. This design feature must be maintained at all times whilst this item of plant is in operation.

References: AS/NZS4024.1906



STRAINS

HIGH 19

LOW 5

Risk Treatments in Place: Controls Ergonomics

All controls including all levers, buttons, pedals, switches etc, are placed near the operator work position and are easy to reach and operate during the execution of the operator's normal duties. This applies for all persons within the 95th percentile of the normal population distribution.

References: AS/NZS4024.1901



INCORRECT OPERATION, SLIPPING

HIGH 17

LOW 6

Risk Treatments in Place: Control Levers/Pedals/Buttons

All controls including all levers, buttons, pedals, switches etc. must be kept non-slip and free from damage at all times.

References: AS/NZS4024.1901



INCORRECT OPERATION, OPERATIONAL MALFUNCTION

MEDIUM 14

MEDIUM 13

Risk Treatments in Place: Restricted Access Switches

This item of plant is fitted with a device to restrict operators. A code/key must only be given to those that have appropriate experience or training.

References: AS20474.1



FALLING, SLIPPING

MEDIUM 12

LOW 6

Risk Treatments in Place: Access/Egress Instruction Label

An instruction label is fitted adjacent access/egress areas to advise all personnel of the following -

- 1. Always face the item of plant during access and egress.
- 2. Always maintain three points of contact during access and egress.
- 3. Ensure the steps are clean.
- Never jump off machine.

This label must be clear and legible at all times whilst this item of plant is in operation.

References: ISO31000

BATTERY COVER

ELECTRIC SHOCK, BURNS

MEDIUM 12

LOW 6

Risk Treatments in Place: Battery Cover

All batteries fitted to this item of plant are constrained to prevent displacement & fitted with a permanent sturdy cover which allows for ventilation & ensures the terminals are protected. The constraint and cover must be present and fully functional and serviceable at all times whilst this item of plant is in operation.

References: AS/NZS4024.1201



FIRE, BURNS

MEDIUM 12

LOW 6

Risk Treatments in Place: Exhaust

The engine exhaust on this item of plant is located/fitted with a guard to prevent injury to any person and control the risk of initiating a fire. Guards must be present, fully functional and serviceable at all times whilst this item of plant is in operation.

References: AS/NZS4024.1201





HAZARD(S)

MEDIUM 12

Prelim. Risk Rating

Residual Risk Rating

MEDIUM 11

Risk Treatments in Place: Operator Mirror

This item of plant is fitted with at least one rear vision mirror. This mirror must be fully functional and clean at all times whislt this item of plant is in operation.

References: ISO5006



SLIPPING

MEDIUM 12

LOW 6

Risk Treatments in Place: Operator Work Area Access/Egress

Safe access and egress to the cabin/work area(s) must be maintained at all times whilst this item of plant is in operation. It must be non slip, free from damage, located at a height so as to not cause undue body stresses and strains with three points of contact available to personnel at all times.

All personnel must -

- 1. Always face the item of plant during access and egress.
- 2. Always maintain three points of contact during access and egress.
- 3. Never carry an object(s) in his/her hand(s) during access and egress.
- 4. Never jump off machine.

References: AS5327



HEAT STROKE, DEHYDRATION

MEDIUM 9

LOW 4

Risk Treatments in Place: Air Conditioning

This item of plant is fitted with an air conditioned cabin. This air conditioned cabin helps control the air quality and temperature for the operator and also provides shade from the sun. The air conditioner must be fully functional and serviceable at all times whilst this item of plant is in operation.

References: ISO31000



NON COMPLIANCE, STRAINS

MEDIUM 9

LOW 1

Risk Treatments in Place: Operator Seat

The operator seat fitted to this item of plant must remain free from damage and tears, and be permanently and securely fitted at all times.

References: AS/NZS4024.1401, ISO20474-



INCORRECT OPERATION, SLIPPING

MEDIUM 9

LOW 4

Risk Treatments in Place: Work Area Floors

All work area floors are non-slip and free from damage & debris.

Floor area must remain non-slip and free from damage & debris, including rubbish, tools and other items, at all times whilst this item of plant is in use.

References: AS/NZS4024.1201, ISO20474-



MAINTENANCE

CURRENT OR PREVIOUS STRUCTURAL DAMAGE

CRITICAL 25

MEDIUM 15

Risk Treatments in Place: Structural Integrity

Regular checks for structural damage must be undertaken. Look for cracks in frames/chassis (current or repaired), bends or damage to structural components, etc.

References: ISO31000



STRIKING, BURNS

HIGH 22

MEDIUM 15

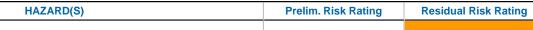
Risk Treatments in Place: Hydraulic Damage

The hydraulic hoses to this item of plant are free from damage and protected against damage arising from contact with the plant structure. Ensure that hoses are free from damage and that protection is in place at all times whilst this item of plant is in operation. Inspection of the hydraulic hoses and protection system should be conducted regularly and documented as part of your plant safety programme.

References: AS4024, ISO4413, AS2671









INCORRECT OPERATION

HIGH 22

MEDIUM 15

Risk Treatments in Place: Maintenance Manual

The manufacturer's maintenance manual(s) has been supplied for this item of plant

These manual(s) must be available at all times to all users and maintenance staff of this item of plant. All users and maintenance staff must read and be familiar with these handbook(s) prior to maintaining or repairing this item of plant.

A complete risk assessment/JSEA must be undertaken covering all inspection, maintenance, servicing and transportation requirements of this piece of plant prior to use.

A full assessment of the competence of people using the book(s) must also be undertaken

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations



OPERATIONAL MALFUNCTION

HIGH 22

LOW 2

Risk Treatments in Place: Major Fluid Leaks

This item of plant must remain free from leaks at all times whilst in operation (this includes engine, transmission, cooling system, air, fuel, drive line, wheel hubs, steering and hydraulics). Development of a major leak will require this item of plant to be stood-down until repaired. Minor leaks detected must be repaired within 1-14 days.

References: ISO31000



CRUSHING

HIGH 22

MEDIUM 15

Risk Treatments in Place: ROPS Damage

The Roll Over Protective Structure (ROPS) fitted to this item of plant must remain free from damage at all times whilst this item of plant is in operation.

References: AS2294, ISO3471



OPERATIONAL MALFUNCTION

HIGH 21

MEDIUM 15

Risk Treatments in Place: Service Records

Service and maintenance records are available for this item of plant.

These records must continue to be managed and available at all times as part of your service and maintenance programme. (This programme includes the undertaking of regular inspections of the item of plant with specific reference to all OEM prescribed, scheduled and non scheduled service and maintenance requirements).

References: Work Health & Safety Act & Regulations-, Occupational Health & Safety Act & Regulations



POOR VISIBILITY, COLLISION

HIGH 21

MEDIUM 15

Risk Treatments in Place: Windows & Screens

Ensure the cabin/work area safety glass windows and screens are kept clean and free from cracks and other damage at all times whilst this item of plant is in use.

References: AS/NZS4024.1201, ISO20474-



NON COMPLIANCE

MEDIUM 14

LOW 6

Risk Treatments in Place: Engine/Motor Compartment

The engine/motor compartment is fully enclosed and lockable to prevent unauthorised access.

A code/key must only be given to those that have appropriate experience or training. These points of access must remain fully lockable at all times whilst this item of plant is in operation.

References: AS20474.1



INSTABILITY

MEDIUM 9

LOW 4

Risk Treatments in Place: Tracks

The tracks and track components must be inspected as part of a "pre start" checklist. These inspections must be documented as part of your plant safety programme.

References: ISO20474-





SECTION 6 IMAGES AND NOTES

IMAGES

- No Images Available -

NOTES

- No Notes Available -





Make

Model Type



RISK MANAGEMENT REPORT

TYPE	Excavator - Small (0 - 9.9 Tonne)	Report Number	AHH 20251202-1612
MAKE	Kubota	Date	02-Dec-2025
MODEL	KX057-4	Created By	AHSH Service
PLANT NUMBER	AHSH74	Assessor	AHSH Service
SERIAL NUMBER	22327	Assist. Assessor(s)	Mitchell Pennells
		Owner	Australian Hammer Supplies Hire Pty Ltd
		Machine Identifier updates	AHSH74
		Assessment Purpose	Hire
		State	NSW

OPERATOR ACKNOWLEDGEMENT

I the undersigned acknowledge that I have read and understand the risk management report described above. I also acknowledge that I have received a copy of this risk management report.

DATE	<u>NAME</u>	COMPANY/POSITION	<u>SIGNATURE</u>

KX057-4

Tonne)

Excavator - Small (0 - 9.9